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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/533,838	05/04/2005	William Brown	100885-1P US	6088
22466 7590 01/04/2008 ASTRA ZENECA PHARMACEUTICALS LP GLOBAL INTELLECTUAL PROPERTY 1800 CONCORD PIKE WILMINGTON, DE 19850-5437			EXAMINER ROBINSON, BINTA M	
			ART UNIT 1625	PAPER NUMBER
			MAIL DATE 01/04/2008	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/533,838	<b>Applicant(s)</b> BROWN ET AL.	
	<b>Examiner</b> Binta M. Robinson	<b>Art Unit</b> 1625	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-11 and 15-23 is/are pending in the application.
- 4a) Of the above claim(s) 14-17 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 and 18-23 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                 | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date: ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                        | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date: ____. | 6) <input type="checkbox"/> Other: ____.  |

**Detailed Action**

The 112, second paragraph rejection over claims 1-10 and 18-23 are rendered moot in light of applicant's response filed 10/10/2007. Claims 14-17 are held nonelected as being drawn to a non-elected invention.

**(Old Rejections)**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-2, are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-4, 8 of copending Application No. 20070099957. Although the conflicting claims are not identical, they are not patentably distinct from each other because '957 discloses a genus of compounds, which are positional isomers of the instant genus of compounds.

'957 teaches the compound as shown in Formula 1A, wherein R1 is hydrogen, C1-6alkyl-O-C(O), C1-6alkyl, C3-6cycloalkyl, wherein said C1-6alkyl, C3-6cycloalkyl are optionally substituted with one or more groups selected from R, NO2, OR, Br, I, F, CF3, and R is C1-6 alkyl, R4 is C1-6 alkyl or C3-6 cycloalkyl, R7 is H or C1-6 alkyl. At page 41, column 1, see the radicals defined. The difference between the prior art compound and the instantly claimed compounds is the teaching of a genus of compounds, which are positional isomers of the instant genus of compounds. The NR4(R7) moiety on the '457 compound is at the 2 position whereas in the instant compound, the analogous group which is NR2R1 is at the 3 position. It would have been obvious to one of ordinary skill in the art to select various known radicals within a genus to prepare structurally similar compounds. The '457 compounds are useful in therapy and thus it would have been obvious to modify the '457 compounds to the instant compounds which are positional isomers. Accordingly, the compounds are deemed unpatentable therefrom in the absence of a showing of unexpected results for the claimed compounds over those of the generic prior art compounds.

Claims 11 is provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 5 of copending Application No. 20070099957. Although the conflicting claims are not identical, they are not patentably distinct from each other because '957 discloses compounds which are positional isomers of the instant compounds.

'957 teaches the compounds in claim 5. At page 52, columns 1-2, see the '957 compounds. The difference between the prior art compound and the instantly claimed

compounds is the teaching of compounds, which are positional isomers of the instant compounds. The NR<sub>4</sub>(R<sub>7</sub>) moiety on the '457 compound is at the 2 position whereas in the instant compound, the analogous group which is NR<sub>2</sub>R<sub>1</sub> is at the 3 position. It would have been obvious to one of ordinary skill in the art to select various known radicals within a genus to prepare structurally similar compounds. The '457 compounds are useful in therapy and thus it would have been obvious to modify the '457 compounds to the instant compounds which are positional isomers. Accordingly, the compounds are deemed unpatentable therefrom in the absence of a showing of unexpected results for the claimed compounds over those of the generic prior art compounds.

Claims 1-2 and 11 are directed to an invention not patentably distinct from claims 1-5 and 8 of commonly assigned application 10555980. Specifically, this application teaches '957 teaches the instant compound as shown in Formula IA, wherein R<sub>1</sub> is hydrogen, C<sub>1</sub>-6alkyl-O-C(O), C<sub>1</sub>-6alkyl, C<sub>3</sub>-6cycloalkyl, wherein said C<sub>1</sub>-6alkyl, C<sub>3</sub>-6cycloalkyl are optionally substituted with one or more groups selected from R, NO<sub>2</sub>, OR, Br, I, F, CF<sub>3</sub>, and R is C<sub>1</sub>-6 alkyl, R<sub>4</sub> is C<sub>1</sub>-6 alkyl or C<sub>3</sub>-6 cycloalkyl, R<sub>7</sub> is H or C<sub>1</sub>-6 alkyl as well as specific compound species. At page 41, column 1, see the radicals defined and at claim 5, see the compound species. The difference between the prior art compound and the instantly claimed compounds is the teaching of a genus of compounds which are positional isomers of the instant genus of compounds and species which are positional isomers of the instant species. The NR<sub>4</sub>(R<sub>7</sub>) moiety on the '457 compound is at the 2 position whereas in the instant compound, the analogous

group which is NR2R1 is at the 3 position. It would have been obvious to one of ordinary skill in the art to select various known radicals within a genus to prepare structurally similar compounds. The '457 compounds are useful in therapy and thus it would have been obvious to modify the '457 compounds to the instant compounds which are positional isomers. Accordingly, the compounds are deemed unpatentable therefrom in the absence of a showing of unexpected results for the claimed compounds over those of the generic prior art compounds.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-10, 18-23 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for using the compounds of formula I with R1 equal to benzylaminocarbonyl, cyclopentyl, phenyl, cycloheptanyl, , 2-chlorobenzoyl, 3-chlorobenzoyl, benzyl, 3-methylfuranyl, cyclohexyl, ethyl, 5-methylthien-2-yl)acetyl, 5-chlorothien-2-ylacetyl, 2-phenylpropanoyl, 2-phenylbutanoyl, benzoyl, anilinocarbonyl, piperidinecarbonyl, piperidinylmethylsulfonyl, phenylethyl, cyclohexylethyl, dipropylcarbonyl, 1 , 2, 3-benzotriazolecarbonyl; 1-methyl, 1,2, 3-benzotriazolecarbonyl, 3-pyridinecarbonyl, 2-methoxyphenylcarbonyl, 2-quinoxalinecarbonyl, 2,5-difluorophenylcarbonyl, 2-thiophenecarbonyl, methylphenylaminocarbonyl and



wherein R1 and R2 come together to form a piperidine ring or pyrrolidine ring, R3 equal to hydrogen, and R2 equal to H, methyl, and ethyl, does not reasonably provide enablement for using the compounds of formula I where R1, R2, and R3 equal to any of the other moieties claimed. The specification does not enable any skilled pharmacologist or physician to use the invention commensurate in scope with these claims. The factors to be considered in making an enablement rejection have been summarized above.

a) Determining if any particular claimed compounds with R1-R3 equal to any of the other moieties claimed other than those enabled above would be active would require synthesis of the substrate and subjecting it to testing with Applicants' GTP binding assay. Considering the large number of compounds to be made this is a large quantity of experimentation. b) The direction concerning the claimed compounds is found in pages 39-75, which merely states Applicants' intent to make and use such compounds. c) In the instant case none of the working examples contains any radical R1-R3 equal to any of the moieties claimed other than the ones enabled above.

d) The nature of the invention is activity towards the delta opioid receptor and treatment of human diseases with Applicants' compounds. This involves physiological activity. The nature of the invention requires an understanding of the

receptor, the binding activity of small ligands to that receptor, and the ability of those compounds to modulate the delta opioid receptor. In view of the unpredictability of receptor binding activity and claimed divergent substituents with varied polarity, size, and polarisability, the skilled physician would indeed question the inclusion of such diverse rings, commensurate in scope with these claims. Also see the MPEP § 2164.03 for enablement requirements in the structure sensitive arts of pharmacology and medicinal chemistry.

e) There is no reasonable basis for the assumption that the myriad of compounds embraced by the present formula (I) will all share the same biological properties. For example, a cyclohexyl ring has different chemical properties than a thiophenyl ring. The diverse claimed compounds are chemically non-equivalent and there is no basis in the prior art for assuming in the non-predictable art of pharmacology that structurally dissimilar compounds will have such activity, *In re Surrey* 151 USPQ 724 (compounds actually tested which demonstrated the asserted psychomotor stimulatory and anti-convulsant properties were those having the 3,4-dichlorophenyl substituent at the 2-position on the thiazolidone nucleus not sufficient for enablement of any heterocyclic radical at the same position). *In re Fouche*, 169 USPQ 429 at 434 (a Markush group including both aliphatic and heterocyclic members not enabled for the use of those compounds within the claim



having heterocyclic moieties.) *In re CAVALLITO AND GRAY*, 127 USPQ 202 (claims covering several hundred thousand possible compounds, of which only thirty are specifically identified in appellants' application, not enabled unless all of the thirty specific compounds disclosed had equal hypotensive potency because that fact would strongly indicate that the potency was derived solely from the basic structural formula common to all of them. A wide variation in such potency would suggest that it was due in part to the added substituents and might be eliminated or even reversed by many of the possible substituents which had not been tried.)

f) The artisan using Applicants' invention to treat diseases with the claimed compounds would be a physician with a MD degree and several years of experience. He would be unaware of how to predict *a priori* how a changing a heterocyclic ring would affect biological activity. In view of the divergent rings with varied basicity, steric hindrance, and polarisability, the skilled physician would indeed question the inclusion of such fused rings, commensurate in scope with these claims. g) Physiological activity, is well-known to be unpredictable, *In re Fisher*, 427 F.2d 833, 839, 166 USPQ 18, 24 (CCPA 1970) (contrasting mechanical and electrical elements with chemical reactions and physiological activity). See also *In re Wright*, 999 F.2d 1557, 1562, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993); *In re Vaeck*, 947 F.2d 488, 496, 20 USPQ2d 1438, 1445 (Fed.

Cir. 1991). h) The breadth of the claims includes all of millions of compounds of formula (I). Thus, the scope is very broad. The present claims embrace various heterocyclic radicals, which are not art-recognized as equivalent. The specific compounds made are not adequately representative of the compounds embraced by the extensive Markush groups instantly claimed.

MPEP 2164.01(a) states, "A conclusion of lack of enablement means that, based on the evidence regarding each of the above factors, the specification, at the time the application was filed, would not have taught one skilled in the art how to make and/or use the full scope of the claimed invention without undue experimentation. *In re Wright*, 999 F.2d 1557,1562, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993)." That conclusion is clearly justified here. Thus, undue experimentation will be required to practice Applicants' invention.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Delorme. (See Reference N, WO 9828275).

Delorme teaches the compound as shown in Formula I, which is a genus of compounds which overlap in scope with the instant genus of compounds. At pages 103–105, see the compound of formula I in claim 1. The difference between the prior art compound and the instantly claimed compounds is the teaching of a generic compound which overlaps in subject matter with the instant genus of compounds. It would have been obvious to one of ordinary skill in the art to select various known radicals within a genus to prepare structurally similar compounds. Accordingly, the compounds are deemed unpatentable therefrom in the absence of a showing of unexpected results for the claimed compounds over those of the generic prior art compounds.

The elected species is not allowable because compound 2 in claim 5 of '957 is a positional isomer of the elected species.

**(new rejections)**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1, 6, 7, 8, 10, 14 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, 4, 8, 15, 16, 18 of copending Application No. 10596850 in view of George Theodoridis. Although the conflicting claims are not identical, they are not patentably distinct from each other because the copending application teaches a genus of compounds and compositions containing them which overlaps in subject matter the instant compounds and compositions, the difference being that the non-amido - nitrogen on the phenyl ring of the compound is protected with a nitrogen protecting group such as TBoc.

The Copending application teaches a genus of compounds and compositions containing them at example claim 1 and claim 8. The difference between the prior art compound and compositions and the instantly claimed compounds and compositions is the teaching of a genus of compounds wherein the nonamido nitrogen is protected with nitrogen protecting group such as TBoc. Theodoridis teaches that nitrogen can be protected with various protecting groups such as TBOC. See page 2534, the compounds of example 105. It would have been obvious to one of ordinary skill in the art to produce a genus of compounds which overlap in subject matter with the '850 compounds and deprotect the amino nitrogen so that amino nitrogen is a unprotected. Accordingly, the compounds and compositions are deemed unpatentable therefrom in the absence of a showing of unexpected results for the claimed compounds and compositions over those of the generic prior art compounds and compositions.

**Response to Applicant's Remarks**

The applicant traverses the 112, first rejection over claims 1-10 and 18-23 alleging that the amendment filed 10/10/07 overcomes this rejection and maintaining that undue experimentation would not be needed to practice the entire scope of the invention. However, the inventors still have not amended the claims enough so that it is narrow in scope to be enabled by the written disclosure of compounds, for example there are no compounds disclosed where R2 is other than H, ethyl or methyl. Different substituents at the R3 position and the R2 position where for example, R1 is equal to pyridine is not obvious over R1 equal to alkyl, and R2 equal to methyl is not obvious over R2 equal to hydroxymethyl. It would require undue experimentation to obtain all of the claimed nonobvious variants and test them in applicant's GTP binding assay, and to determine the effects of these nonobvious variants on the claimed diseases. Applicant also asks the examiner to hold the obvious double patenting rejections in abeyance. However, these obvious double patenting rejections are maintained, because they are not the only outstanding issue in this application.

**THIS ACTION IS MADE FINAL.** A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the

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statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Binta M. Robinson whose telephone number is (571) 272-0692. The examiner can normally be reached on M-F (9:30-6:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dr. Janet Andres can be reached on 571-272-0867.

A facsimile center has been established. The hours of operation are Monday through Friday, 8:45 AM to 4:45 PM. The telecopier numbers for accessing the facsimile machine are (703)308-4242, (703)305-3592, and (703)305-3014.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (571)-272-1600.



BMR  
December 20, 2007



JANET L. ANDRES  
SUPERVISORY PATENT EXAMINER